## **BOARD of SUPERVISORS**



City Hall
Dr. Carlton B. Goodlett Place, Room 244
San Francisco 94102-4689
Tel. No. 554-5184
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December 15, 2009

File 091113

Ann Marie Aherne Commission Secretary Building Inspection Commission 1660 Mission Street San Francisco, CA 94103-2414

Dear Ms. Aherne:

On November 10, 2009, Mayor Newsom introduced the following proposed **substitute** legislation:

File No.: 091113

Ordinance finding a compelling public policy basis for expediting the processing and review of permits for voluntary seismic retrofit upgrades of soft-story, wood-frame buildings and amending the Planning Code, Building Code, Fire Code, and Public Works Code to waive permit processing fees for the proportionate share of work related to such seismic retrofit upgrades; making environmental findings and findings of consistency with the City's General Plan and Planning Code Section 101.1.

This proposed legislation is being transmitted pursuant to Charter Section D3.750-5 for public hearing before the Building Inspection Commission. It is respectfully requested the Commission consider this item as soon as possible. This ordinance pending before the Land Use & Economic Development Committee and will be scheduled for hearing upon receipt of your response.

Angela Calvillo, Clerk of the Board

Elisa Jomera

By: Alisa Somera, Committee Clerk

Land Use & Economic Development Commit

Attachment

cc: Vivian L. Day, Director, Department of Building Inspection Lou Aurea, Department of Building Inspection

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## FOR YOUR INFORMATION SEE THE ATTACHED LEGISLATION

DATE SEN	T: December 15, 2009		
FILE #: 091	1113-2		
DESCRIPT	ION: Seismic Strengthening of Soft-Story, Wood-Frame Buildings (Substitute Ordinance)		
FROM:	<ul> <li>□ Budget &amp; Finance</li> <li>□ City Operations &amp; Neighborhood Services</li> <li>□ City &amp; School District Select</li> <li>□ Government Audit &amp; Oversight</li> <li>□ Land Use &amp; Economic Development</li> <li>□ Rules</li> <li>□ Public Safety</li> </ul>		
☐ The me	eeting to hear this file will be held on: URGENT		
(response	needed within one week) OR: No date set yet		
	☐ Hearing or ☒ Legislation referred to:		
⊠ Building	Inspection Commission Charter Section D3.750-5		
Ethics Commission Campaign & Governmental Conduct Code Section 1.103			
Historic Preservation Commission Charter Section 4.135			
Planning - Code Amendment Planning Code Section 302(b)and 306(a) (90 days to respond)			
☐ Planning - Environmental review – 30 days to respond			
Planning - Environmental review (fees) CEQA CA Public Resources Code § 21000 et seq – 10 days to respon			
Planning - Interim Controls Planning Code Section 306.7(c)			
Retirement Board Campaign & Governmental Code or Elections Code			
Small Business Commission №01-33			
Youth Commission Charter Section 3.720-2; Charter Section 4.124 (12 day to respond)			
☑ FYI – Fire Department			
⊠ FYI – D	FYI – Department of Public Works		

[Seismic strengthening of soft-story, wood-frame buildings.]

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Ordinance finding a compelling public policy basis for expediting the processing and review of permits for voluntary seismic retrofit upgrades of soft-story, wood-frame buildings and amending the Planning Code, Building Code, Fire Code, and Public Works Code to waive permit processing fees for the proportionate share of work related to such seismic retrofit upgrades; making environmental findings and findings of consistency with the City's General Plan and Planning Code Section 101.1.

Note:

Additions are *single-underline italics Times New Roman*; deletions are strikethrough italies Times New Roman. Board amendment additions are double underlined. Board amendment deletions are strikethrough normal.

Be it ordained by the People of the City and County of San Francisco:

Section 1. City Policy Concerning Seismic Retrofit Upgrades for Soft-story, woodframe Construction.

- (a) Findings. (1) Soft-story, wood-frame buildings are structures where the first story is substantially weaker and more flexible than the stories above due to lack of walls or moment-resisting frames at the first floor and a significant number of walls in the floors above. Typically, these are apartments and condominiums that have parking or open commercial space – for businesses such as restaurants or grocery stores – on the first floor, which makes the first story "soft" and likely to lean or collapse in earthquakes. As a consequence, such buildings are highly vulnerable during seismic events, as the City witnessed during the Loma Prieta earthquake in 1989.
- (2) The San Francisco Department of Building Inspection (DBI) is responsible for enforcing the San Francisco Building Code and serves the City and County, and the general public, by ensuring that life and property within the City is safeguarded. DBI fulfills its

responsibilities through plan check review of construction documents; the issuance of permits; the inspection of construction as stipulated by permits; and through code enforcement procedures that compel property owner compliance and that may include prosecution of code violations. DBI and its governing body, the Building Inspection Commission, also provide a public forum for community involvement in permit review, approval and enforcement processes.

- (3) DBI has initiated the Community Action Plan for Seismic Safety (CAPSS) initiative to better understand the types of buildings in San Francisco that are most vulnerable to seismic events and recommend measures, including legislation to retrofit and improve the public safety related to soft-story, wood-frame buildings. The CAPSS recently completed identification of one type of soft-story wood-frame buildings in San Francisco and their location; evaluated a range of vulnerability factors; and designing retrofit options and costs, all while engaging and alerting the public to make property owners and tenants aware of potential seismic vulnerabilities. The CAPSS initiative completed its seismic soft-story report in February 2009 and recommended to the Mayor elements to include in a seismic strengthening ordinance for vulnerable soft-story wood-frame buildings.
- (4) In furtherance of this effort and other City actions to ensure and enhance public protection during seismic events, Mayor Newsom, on July 7, 2008, issued Executive Directive No. 08-07 concerning seismic strengthening of soft-story, wood-frame buildings. Said Directive is on file with the Clerk of the Board of Supervisors in File No. \_\_\_\_\_ and is incorporated herein by reference.
- (5) The public and media outlets share in the concern of the City's elected and appointed officials that City government do all that it can to significantly expand and accelerate ongoing efforts to ensure the safety of life and property in the City and County of

San Francisco. Such concern is demonstrated in articles such as those of the New York

Times dated February 21, 2009 and San Francisco Chronicle, dated February 13, 2009,

January 22, 2009, and June 29, 2008, and other media coverage promoting voluntary retrofits

as an immediate action. Said articles are on file with the Clerk of the Board of Supervisors in

File No. \_\_\_\_\_\_\_ and are incorporated herein by reference.

- (6) On January 21, 2009, at a duly noticed public hearing, the Building Inspection Commission reviewed, approved, and recommended to Mayor Newsom, the CAPSS report entitled, Here Today Here Tomorrow: Earthquake Safety for Soft-Story Buildings. Said report is on file with the Clerk of the Board of Supervisors in File No. \_\_091113 \_\_\_\_\_ and is incorporated herein by reference. The Department finalized said report, which included various recommendations for City actions to address soft-story buildings, and delivered it to the Mayor on February 20, 2009.
- (7) As a consequence of this public concern on the vulnerability of soft-story buildings to seismic events, during the pendency of the abovementioned CAPSS process and the City's ability to implement one or more of the recommendations of the CAPSS report on soft-story buildings, and in response to Mayor Newsom's Executive Directive No. 08-07, the City should encourage residents and property owners to voluntarily perform seismic retrofit upgrades for soft-story, wood-frame buildings.
- (8) The intent of this legislation is to provide such encouragement through specified permit fee waivers and permit expediting in the near term, while the City develops and implements long-range strategies, including legislation, to address this issue.
- (9) The City further declares, as a matter of public policy, that if properties owners take advantage of this voluntary program and complete the seismic retrofit upgrade within the permitted time frame, such projects would be exempt for 15 years from compliance with any

subsequent CAPSS-related legislation that imposes mandatory seismic retrofit upgrades for soft-story, wood frame buildings.

- (b) (1) In accordance with San Francisco Campaign and Governmental Conduct Code Section 3.400(b), the City hereby finds there is a compelling public policy basis to expedite the review and permitting process for projects where the scope of work includes voluntary seismic retrofit upgrades to a soft-story, wood-frame buildings, as defined by the Director of the DBI (the "Building Official"). The Ethics Commission, Building Official, Director of Planning, Fire Marshal, Director of Public Works, and directors of other affected departments are urged to amend their respective codes of conduct for permit processing to reflect this City policy.
- (3) On \_\_\_\_\_\_, 2009. the Building Inspection Commission held a duly noticed public hearing on this legislation and recommended its approval to the Board of Supervisors.
- Section 2. Environmental findings and findings of consistency with the City's General Plan.
- (a) Pursuant to Planning Code Section 302, this Board of Supervisors finds that this Ordinance will serve the public necessity, convenience and welfare for the reasons set forth in Planning Commission Resolution No. \_\_\_\_\_\_, and incorporates those reasons

\$305.00

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Mayor Newsom
BOARD OF SUPERVISORS

\$0.00 to \$9,999.00

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\$10,000.00 to \$49,999.00	\$306.00 plus 3.196% of cost over \$10,000.00
\$50,000.00 to \$99,999.00	\$1,585.00 plus 2.136% of cost over \$50,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$100,000.00 to \$499,999.00	\$2,654.00 plus 2.337% of cost over \$100,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$500,000.00 to \$999,999.00	\$12,003.00 plus 0.591% of cost over \$500,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$1,000,000.00 to \$4,999,999.00	\$14,959.00 plus 0.232% of cost over \$1,000,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$5,000,000.00 to \$99,999,999,00	\$24,240.00 plus 0.004% of cost over \$5,000,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$100,000,000.00 or more	\$28,041.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee

- (1) Applications with Verified Violations of this Code: The Planning Department shall charge time and materials as set forth in Section 350(c).
- (2) Back-Check Fee for Permit Revisions: \$191.00 for the initial fee, plus time and materials as set forth in Section 350(c), to be collected at time of permit issuance.

- (3) Shadow Impact Fee for New Construction or Alteration Exceeding 40 Feet in Height (Section 295): Additional \$438.00 plus time and materials as set forth in Section 350(c).
- (4) Public Notification Fee for Projects Requiring Public Notice Pursuant to Section 311: \$45.00, plus \$3.03 per envelope (subject to increase based on envelope and postage costs). The City's reprographics department will print and mail public notices.
- (5) Public Notification Fee for Projects Requiring Public Notice Pursuant to Section 312: \$45.00, plus \$0.89 per envelope (subject to increase based on envelope and postage costs). The City's reprographics department will print and mail public notices.
- (6) For projects with a construction cost of \$100,000,000.00 or more, the applicant shall be charged the permit fee for a project with a \$100,000,000.00 construction cost.
- (7) Permits for solar panels and over-the-counter permits for solar equipment installation shall be \$129.00 per permit.
- (8) Permit review fees shall be waived for seismic upgrade work on soft-story wood-frame buildings, as defined by the Department of Building Inspection in its Administrative Bulletin. These fees will be waived only if a proposal to retrofit a building triggers Planning Department review. The fee waiver shall not apply to other components of work that may be included in the application.
- (b) Building Permit Applications for a New Building: TABLE INSET:

	Estimated Construction Cost	Initial Fee
***************************************	\$0.00 to \$99,999.00	\$1,734.00, plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee

\$100,000.00 to \$499,999.00	\$1,735.00 plus 2.337% of cost over \$100,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$500,000.00 to \$9,999,999.00	\$11,084.00 plus 0.746% of cost over \$500,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$1,000,000.00 to \$4,999,999.00	\$14,815.00 plus 0.287% of cost over \$1,000,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee
\$5,000,000.00 to \$99,999,999.00	\$26,296.00 plus 0.005% of cost of \$5,000,000.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Stamp Fee
\$100,000,000.00 or more	\$31,047.00 plus \$81.00 Discretionary Review Surcharge and \$267.00 Categorical Exemption Stamp Fee

- (c) Demolition Applications, to be collected by Central Permit Bureau: \$1,351.00.
- (d) Fire, Police, Entertainment Commission, State Alcohol and Beverage Control and Health Department Permit Applications Referral Review: \$114.00 initial fee collected by the other Departments in conjunction with current fee collections, plus time and materials as set forth in Section 350(c).
  - (e) Sign Permit Applications, to be collected by Central Permit Bureau: \$119.00.

Section 4. The San Francisco Building Code is hereby amended by amending Section 107A.3, to read as follows:

Sec. 107A.3. Plan Review Fees. (a) When submittal documents are required by Section 106A.3.2, a plan review fee shall be paid at the time of filing an application for a permit for which plans are required pursuant to Section 106A.3.2. Said plan review fee shall be based on the valuation determined by Section 107A.1. See Section 110A, Table 1A-A – Building Permit Fees – for applicable fee.

The plan review fees specified in this section are separate fees from the permit issuance fees specified in Section 107A.2 and are in addition to the permit fees.

When submittal documents are incomplete or changed so as to require additional plan review or when the project involves deferred submittal items as defined in Section 106A.3.4.2, an additional plan review fee shall be charged as shown in Section 110A, Table 1A-B – Other Building Permit and Plan Review Fees.

(b) If a project involves voluntary seismic retrofit upgrades to soft-story, wood-frame buildings, as defined by the Building Official, the applicant for said project shall be exempt from the proportionate share of plan review fees specified under this Chapter that is related to such retrofit work, provided all permit conditions and timelines are met.

Section 5. The San Francisco Fire Code is hereby amended by adding Section 112.21 of Appendix Chapter 1, to read as follows:

Sec. 112.21. Notwithstanding the fees established herein, if a project involves voluntary seismic retrofit upgrades to soft-story, wood-frame buildings, as defined by the Director of the Department of Building Inspection, such project applicant shall be exempt from the proportionate share of plan review fees specified herein that is related to such retrofit work.

Section 6. The San Francisco Public Works Code is hereby amended by amending Section 723.2, to read as follows:

Sec. 723.2. MINOR SIDEWALK ENCROACHMENTS.

- (a) The Director of Public Works may grant permission, revocable at his or her will, to an owner of property abutting any court, alley or street to install and maintain minor encroachments such as fences, retaining walls, steps or stairways and other minor structures in the sidewalk fronting such property where such encroachments are desirable or convenient in conjunction with the owner's use and enjoyment of the property, or required for the safety, convenience and comfort of the public using the sidewalk.
- (b) Such encroachments shall not occupy more than 10 percent of the area of the sidewalk fronting the property nor more than 25 percent of the width of the sidewalk, unless the Director of Public Works determines that such restrictions are not applicable due to the nature of the encroachment. The Director may require further restrictions or modifications and impose such conditions as he or she deems necessary. No advertisement shall be permitted on the encroachments.
- (c) In considering the issuance of permits under the provisions of this Section, the Director of Public Works shall give due regard to the location, neighborhood pattern, anticipated pedestrian traffic, access requirements of the Fire Department, and to the convenience and necessities of the owners, occupants or tenants of offices, stores or shops in the vicinity.
- (d) The owner of the real property or the owner's authorized agent applying for a permit under the provisions of this Section shall agree to hold harmless the City and County of San Francisco, its officers, agents, and employees, from any damage or injury caused by reason of the installation or maintenance of the encroachment in the sidewalk, and the owner or owners or subsequent owner or owners of the respective real property shall be solely liable for any damage or loss occasioned by any act or neglect in respect to the installation or maintenance of the encroachments in the sidewalk.

- (e) Each permit issued under the provisions of this Section shall not become effective until the permit has been signed by the owner or the owner's authorized agent and a copy thereof has been recorded in the office of the Recorder of the City and County of San Francisco; provided, however, that within 15 days following the approval, denial or revocation of a permit by the Director, any person may file a notice of appeal with the Board of Appeals. In the alternative, when the encroachment is related to building construction, rehabilitation or maintenance, any person may appeal the encroachment permit decision to the Building Inspection Commission. A person waives his or her right to appeal to the Building Inspection Commission encroachment permit decisions relating to building construction, rehabilitation or maintenance by instead filing the appeal with the Board of Appeals. No encroachment permit decision may be appealed to both bodies.
- (f) For purposes of this Section, an encroachment permit is related to building construction, rehabilitation or maintenance when the object of the encroachment permit affects the applicant's ability to construct, repair or maintain the building.
- (g) Pending decision by the Board of Appeals or the Building Inspection Commission, the permit decision by the Director shall be suspended.
- (h) Before issuance of the permit, the applicant shall be required to pay to the Department of Public Works a fee as set forth in Section 2.1.1 et seq. and a public right-of-way occupancy assessment fee as set forth in subsection (k).
- (i) Nothing in this Section shall be construed as authorizing the Director of Public Works to grant permit for any encroachment which he or she determines to be inimical to the health, welfare, safety and best interest of the general public, or in violation of the Charter or laws of the City and County of San Francisco or laws of the State of California.

- (j) The Board of Appeals or the Building Inspection Commission may affirm, reverse or modify any permit decision made by the Director of Public Works under the provisions of this Section. The decision by the Board of Appeals or the Building Inspection Commission is final.
- (k) The Board of Supervisors reserves the right to exact a public right-of-way occupancy assessment fee for the use of the sidewalk or other public right-of-way space permitted under the provisions of this Section.
- (1) In accordance with Subsection (k) the public right-of-way occupancy assessment fee for minor sidewalk encroachments, whether permitted or unpermitted and as specified in Subsection (k)(2), shall be an annual fee of \$3.00 per square foot of occupancy of the sidewalk or other public right-of-way space. For purposes of calculating the assessment fee, the Department shall charge no less than \$100.00 per year even though the calculated square footage charge for the encroachment may result in a smaller assessment fee.
- (2) The following categories of minor sidewalk encroachments are subject to the public right-of-way occupancy assessment fee:
- (a) Encroachments in, on, above, or below the public right-of-way that are affixed or appurtenant to any building whose owner obtained a site permit for new construction on or after August 29, 2005. This Subsection (k)(2)(a) also shall apply to any commercial, industrial, or mixed-use building whose owner obtained a site permit for new construction prior to August 29, 2005; provided, however, that such building is not located in any Neighborhood Commercial District as designated in Planning Code Article 7 and that the encroachment associated with such building was installed or encroachment permit obtained prior to August 29, 2005. This Subsection shall specifically include, but not be limited to, doors that open over the public right-of-way and subsidewalk basements; provided, however, that

this Subsection shall exclude encroachments for shoring and tiebacks. This Subsection shall not apply to a building that has been converted from a commercial, industrial, or mixed-use building into building containing only residential use.

- (b) Encroachments associated with a commercial, industrial, or mixed-use building that change the vertical or horizontal plane of an existing sidewalk and modify the existing sidewalk slope pattern in order to provide access necessary to comply with the Americans with Disabilities Act; provided, however, that the building obtained a site permit for new construction on or after August 29, 2005.
- (c) Any enclosure of the public right-of-way that is used exclusively for private benefit and was installed on or after August 29, 2005. This Subsection (k)(2)(c) also shall apply to any enclosure installed prior to August 29, 2005 that is associated with a commercial, industrial, or mixed-use building; provided, however, that the building is not located in any Neighborhood Commercial District as designated in Planning Code Article 7,
  - (d) Underground storage tanks.
- (3) For purposes of Subsection (k)(2), the term "site permit" also shall mean "building permit."
- (4) Notwithstanding Subsection (k)(2), no public right-of-way occupancy assessment fee shall be charged against the owner of an historic or architecturally significant building who has installed or seeks a permit to install a minor sidewalk encroachment in order to conform with an applicable Municipal Code; provided, however that this exception shall not apply if the encroachment is a subsidewalk basement. For purposes of this Subsection, an historic or architecturally significant building shall be a building so designated pursuant to Planning Code Article 10 or specifically identified as an architecturally significant building on the Planning Department's database or on a list maintained by the Planning Department.

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- (5) The public right-of-way occupancy assessment fee shall be subject to the review and adjustment procedures as forth in Sections 2.1.1 et seg.
- (6) The public right-of-way occupancy assessment fee shall not be charged to any federal, state, or local governmental agencies, commissions, or departments.
- (7) Notwithstanding this Subsection (m), the public right-of-way assessment fee for underground vaults shall be as specified in Section 2.1.1 et seq.
- (l) Notwithstanding the fees specified herein, if a project involves voluntary seismic retrofit upgrades to soft-story, wood-frame buildings, as defined by the Director of the Department of Building Inspection, such project applicant shall be exempt from the proportionate share of fees specified under this Section and Sections 2.1.1 et seg. that is related to such retrofit work.
- Section 7. This Section is uncodified. (a) In order to facilitate administration of this voluntary seismic retrofit program for soft-story wood-frame buildings, all permit issuing departments may treat the seismic retrofit portion of the project application as a separate permit so long as other related permits for the subject property receive the expedited permit review specified in Section (b)(1) of this Ordinance.
- (b) Reporting requirement. After the effective date of this Ordinance, the Department of Building Inspection shall submit annual reports to the Building Inspection Commission, Board of Supervisors, and Mayor concerning the effectiveness of the voluntary seismic retrofit program for soft-story wood-frame buildings. The report specifically shall include information on the number of permittees who have taken advantage of the program, the number of retrofits completed, and the permittees' costs for the retrofits. This reporting requirement shall be in effect for 5 years or until the City adopts an alternate program to address seismic retrofit of soft-story wood-frame buildings, whichever first occurs.

APPROVED AS TO FORM: DENNIS J. HERRERA, City Attorney

By: John D. Malamut Deputy City Attorney